Appendix C: Prisoners as Subjects in Research

This application form is based on the University of Minnesota IRB's *Appendix C* form and has been adapted with their permission.

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| Principal Investigator: |       |
| Project Title: |       |

*The use of prisoners as subjects requires that the investigator comply with the additional protections provided in* [*45 CFR 46 subpart C*](http://ohrp.osophs.dhhs.gov/humansubjects/guidance/45cfr46.htm#subpartc)*.*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Yes | No |  |  |
| 1. Will this study examine the possible causes, effects, or processes of incarceration and/or criminal behavior? | [ ]  | [ ]  |  |  |
|  |  |  |  |  |
| 2. Will this study examine prisons as institutional structures or prisoners as incarcerated persons? | [ ]  | [ ]  |  |  |
|  |  |  |  |  |
| 3. Will this study examine a condition(s) particularly affecting prisoners as a class of people? | [ ]  | [ ]  |  |  |
|  |  |  |  |  |
| 4. Will this study examine a procedure, innovative or accepted, that will have the intent or reasonable probability of improving the health or well being of the subjects? | [ ] \* | [ ]  |  |  |
|  |  |  |  |  |
| 5. Will prisoners receive any incentives or advantages by agreeing to participate? | [ ]  | [ ]  |  |  |

\* 6. If question 4 is yes, how will prisoners be assigned to groups?

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